SMART FINSEC LIMITED

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						Table	e I - Summary Statem	ent holding of s	specifie	d securities as o	n 31-12-2016							
Category (I)	Category of shareholder	Nos. of	No. of fully	No. of	No. of	Total nos.	Shareholding as a	Number o	f Voting	Rights held in e	each class of	No. of Shares	Shareholding, as a	Numbe	r of Locked in	Number of	f Shares	Number of
	(II)	sharehold	paid up	Partly	shares	shares held	% of total no. of	securities			Underlying	% assuming full		shares	pledged or o	otherwise	equity shares	
		ers	equity	paid-up	underlyin	(VII) =	shares (calculated		(IX)			Outstanding	conversion of		(XII)	encumb	bered	held in
		(III)	shares held	equity	g	(IV)+(V)+	as per SCRR,					convertible	convertible			(XII	II)	dematerialized
			(IV)	shares	Depositor		1957)				securities	securities (as a					form	
				held	y Receipts		(VIII)				(including percentage of						(XIV)	
				(V)	(VI)		As a % of				Warrants)	diluted share						
							(A+B+C2)					(X)	capital)					
													(XI)= (VII)+(X) As a % of (A+B+C2)					
										Total as a		As a 70 of (A+D+C2)	No. (a)	As a % of total	No. (a)	As a		
											% of (A+B+ C)				Shares held		% of	
															(b)		total	
																	Share s	
																	held (b)	
								Class eg: X		Total								
									eg:y									
(A)	Promoter & Promoter Group	8	2163956	0	0	2163956	72.132	2163956	0	2163956	72.132	0	72.132	0	0.000	0	0.000	2163956
(B)	Public	577	836044	0	0	836044	27.868	836044	0	836044	27.868	0	27.868	0	0.000	NA	NA	649744
(C)	Non Promoter- Non Public	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	#DIV/0!	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.000	0	0.000	0	#DIV/0!	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	#DIV/0!	NA	NA	0
	Total	585	3000000	0	0	3000000	100.000	3000000	0	3000000	100.000	0	100.000	0	#DIV/0!	0	0.000	2813700

					Т	able II - State	ement show	ing shareholdi	ng pattern	of the Pr	omoter and	l Promote	r Group						
	Category & Name of the Shareholders (I)	PAN (II)	No. of sharehol der (III)	No. of fully paid up equity shares held (IV)	Partly paid- up equity shares	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible	Shareholding, as a % assuming full conversion of convertible	in shares (XII)		Numb Shares p or othe encumber	ledged erwise	Number of equity shares held in dematerialized form (XIV)
				(,	held	(VI)	,	As a % of	No o	Voting I	Rights	Total as	securities	securities (as	No. (a)	Asa	No. (a)	Asa	
					(V)			(A+B+C2) (VIII)	Class X	ClassY	Total	a % of Total	(including Warrants)	a percentage of diluted		% of total		% of total	
												Voting rights	(X)	share capital) (XI) = (VII)+(X) as a % of A+B+C2		Shar es held (b)		Shar es held (b)	
(1)	Indian																		
(a)	Individuals/Hindu Undivided Family		8	2163956	0	0	2163956	72.132	2163956	0	2163956	72.132	0	72.132	0	0.000	0	0.000	2163956
	ARUN KHERA	AAAHA5519G	1	372500	0	0	372500	12.417	372500	0	372500	12.417	0	12.417	0	0.000	0		372500
	ARUN KHERA	AAIPK9892N	1	377000	0	0	377000	12.567	377000	0	377000	12.567	0	12.567	0	0.000	0		377000
	RAMAN KHERA	AAIPK9893P	1	393800	0	0	393800	13.127	393800	0	393800	13.127	0	13.127	0	0.000	0	0.000	393800
	JATINDER KHERA	AARPK2043G	1	418100	0	0	418100	13.937	418100	0	418100	13.937	0	13.937	0	0.000	0	0.000	418100
	UPASANA KHERA	ANMPG5715D	1	128300	0	0	128300	4.277	128300	0	128300	4.277	0	4.277	0	0.000	0	0.000	128300
	VIMMI SACHDEV	AOIPS4904F	1	177200	0	0	177200	5.907	177200	0	177200	5.907	0	5.907	0	0.000	0		177200
	SACHIT KHERA	BBYPK2991N	1	146654	0	0	146654	4.888	146654	0	146654	4.888	0	4.888	0	0.000	0	0.000	146654
	SUNAINA KHERA	CGUPK6052L	1	150402	0	0	150402	5.013	150402	0	150402	5.013	0	5.013	0	0.000	0	0.000	150402
(b)	Central Goverment/State Goverment(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	0	0.000	0
(d)	Any Others (specify)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Any Others (specify)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total(A)(1)		8	2163956	0	0	2163956	72.132	2163956	0	2163956	72.132	0	72.132	0	0.000	0		2163956
(2)	Foreign	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	0	0.000	0
(b)	Government	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	0	0.000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	2163956	0	0	2163956	72.132	2163956	0	2163956	72.132	0	72.132	0	0.000	0	0.000	2163956

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

						Tabl	e III - Statemer	nt showing share	holding pa	ttern of th	e Public Sha	reholder							
	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholde r (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of	Number of	of se	ghts held in ecurities (IX)	each class	No. of Shares Underlying Outstanding convertible securities	Total Shareholding, as a % assuming full conversion of convertible	Number of L share (XII)	es	Number of pledge other encumber	ed or wise	Number of equity shares held in dematerialized form (XIV)
				` ,	(V)	(VI)		(A+B+C2)	No	of Voting	Rights	Total as	(including	securities (as a	No. (a)	As a	No. (a)	As a	
								(VIII)	Class X	ClassY	Total	a % of	Warrants)	percentage of		% of		% of	
(4)	Institutions											Total Voting rights	(X)	diluted share capital) (XI)		total Shar es held (b)		total Shar es held (Not applicabl e)(b)	
(1) (a)	Mutual Fund		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	NA	NA	0
` /	Venture Capital Funds		0	0	0	0	0	0.000	0	0		0.000	0	0.000		0.000	NA NA	NA NA	0
(b)			0		0		0	0.000	0	0	0	0.000	0	0.000		0.000	NA NA	NA NA	0
(c)	Alternate investment Funds Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	NA NA	NA NA	0
(u)	oroign venture capital investors		0		0		U	0.000		U	· ·	0.000	U	0.000		0.000	14/3	130	
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	NA	NA	0
(f)	Financial Institutions/ Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	NA	NA	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	NA	NA	0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(i)	Any Others (specify)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
	Sub-Total(B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(2)	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000		0.000	NA	NA	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(3)	Non-institutions	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(a)	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		564	185308	0	0	185308	6.177	185308	0	185308	6.177	0	6.177	0	0.000	NA	NA	6308
(a)	 ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. 		8	643200	0	0	643200	21.440	643200	0	643200	21.440	0	21.440	0	0.000	NA	NA	643200
	ANITA GUPTA	AACPG2009H	1	36500	0	0	36500	1.217	36500	0	36500	1.217	0	1.217	0	0.000	NA	NA	36500
	MOHAN GUPTA	AADHM5680B	1	32000	0	0	32000	1.067	32000	0	32000	1.067	0	1.067	0	0.000	NA	NA	32000
	PRAMOD ARORA	AAGPA9064N	1	128000	0	0	128000	4.267	128000	0	128000	4.267	0	4.267	0	0.000	NA	NA	128000
	PUJA SACHDEVA	AARPS3801L	1	81500	0	0	81500	2.717	81500	0	81500	2.717	0	2.717	0	0.000	NA	NA	81500
	SUBHASH GAMBHIR	ACKPG2517L	1	68000	0	0	68000	2.267	68000	0	68000	2.267	0	2.267	0	0.000	NA	NA	68000
	JOGESH SACHDEVA	AHYPS6066K	1	93700	0	0	93700	3.123	93700	0	93700	3.123	0	3.123	0	0.000	NA	NA	93700
<u> </u>	SANJEEV SETHI	AKHPS6480P	1	90000	0	0	90000	3.000	90000	0	90000	3.000	0	3.000	0	0.000	NA	NA	90000
/L\	ASHA GAMBHIR	ANGPG0691J	0	113500	0	0	113500 0	3.783	113500	0	113500 0	3.783	0	3.783	0	0.000	NA	NA	113500
(D)	NBFCs registered with RBI Employee Trusts	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA NA	NA NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	U	0.000	NA NA	NA NA	0
(e)	Any Other (specify) # CORPORATE BODY		2	1236	0	0	1236	0.041	1236	0	1236	0.041	0	0.041	0	0.000	NA	NA	236
(e)	Any Other (specify) # NRI		3	6300	0	0	6300	0.210	6300	0	6300	0.210	0	0.210	0	0.000	NA	NA	0
	Sub-Total (B)(3)		577	836044	0	0	836044	27.868	836044	0	836044	27.868	0	27.868	0	0.000	NA	NA	649744
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		577	836044	0	0	836044	27.868	836044	0	836044	27.868	0	27.868	0	0.000	NA	NA	649744

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. Note:

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

	Category & Name of the Shareholders (I)	PAN (II)	No. of sharehold er (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)
(1)	Custodian/DR Holder		0	0	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0
	Total Non-Promoter-Non-Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of sh
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respectiv

able IV - Statement showing shareholding pattern of the Non Promoter - Non Public Share

Nos. of shares underlying Depository Receipts (VI)	ry IV+V+VI) 1957						
		(VIII)	Class X	ClassY	Total	a % of Total	
						Voting rights	
0	0	0.000	0	0	0	0.000	
0	0	0.000	0	0	0	0.000	
0	0	0.000	0	0	0	0.000	

ares

e columns to the extent information available,

eholder						
No. of Shares Underlying Outstanding convertible securities	Total Shareholding, as a % assuming full conversion of convertible	Number of Lo share (XII)	es	Number o pledge other encumbe	ed or wise	Number of equity shares held in dematerialized form (XIV)
(including Warrants) (X)	securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (Not applicable)	As a % of total Shar es held (Not applicabl e)(b)	
0	0.000		0.000	NA	NA	0
0	0.000	0	0.000	NA	NA	0
0	0.000	0	0.000	NA	NA	0